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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 28122.52

First Inventor Mitta Suresh

Title CATHETER HAVING INTEGRAL EXPANDABLE/COLLAPSE

Express Mail Label No. EL607325025US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **39**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **11**]
5. Oath or Declaration [Total Pages **3**]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

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Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. **09** / **204,108**

Prior application information:

Examiner **J. Yasko, Jr.**Group / Art Unit. **3763**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

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or ☒ Correspondence address below

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Registration No. (Attorney/Agent)

32,271

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09/707487

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

(\$) 561.00

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Mitta Suresh

Examiner Name

Group Art Unit

Attorney Docket No.

28122.52

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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Number

08-1394

Deposit  
Account  
Name

Haynes and Boone LLP

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

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**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$)

101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

Fee Paid

**SUBTOTAL (1)** (\$) 355.00**2. EXTRA CLAIM FEES**

Total Claims 34 - 20\*\* = 14 x 9.00 = 126.00  
 Independent Claims 5 - 3\*\* = 2 x 40.00 = 80.00  
 Multiple Dependent        =       

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$)

103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$) 206.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$)

Fee Description

Fee Paid

105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**SUBMITTED BY**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mitta Suresh, et al.

Serial No.: Continuation-in-part of 09/204,108

Group Art Unit: Unknown

Filed: Herewith

Examiner: Unknown

For: CATHETER HAVING INTEGRAL  
EXPANDABLE/COLLAPSIBLE  
LUMEN

BOX APPLICATION

Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Express Mail Number: EL607325025US

Date of Deposit: November 7, 2000

I hereby certify that the following attached papers and fee:

A New Continuation-in-Part Utility Patent Application Transmittal; a Fee Sheet submitted in duplicate; Specification ( 39 pages); Formal Drawings (11 sheets); a check in the amount of \$561.00; an Information Disclosure Statement and PTO-1449 Form; and a return postcard

are being deposited with United States Postal Service "Express Mail Post Office to addressee" to the Commissioner for Patents, Washington, D. C. 20231.

Fran Ideker

Typed or Printed Name

Fran Ideker

Signature

November 7, 2000

Date

d-837314.1

**CATHETER HAVING INTEGRAL  
EXPANDABLE/COLLAPSIBLE LUMEN**

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Attorney Docket No. 28122.52

Document # d-793330v11

007049-1499

EXPRESS MAIL NO.: EL607325025US

DATE OF DEPOSIT: 11/7/00

This paper and fee are being deposited with the U.S. Postal Service Express Mail Post Office to Addressee service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231

Fran Ideler

Name of person mailing paper and fee

Fran Ideler

Signature of person mailing paper and fee

## **CATHETER HAVING INTEGRAL EXPANDABLE/COLLAPSIBLE LUMEN**

### **CROSS REFERENCE**

This application is a continuation in part of U.S. Serial No.09/204,108 filed December 1, 1998, which claims the benefit of U.S. Provisional application Serial No.60/078,087, filed March 16, 1998.

### **FIELD OF THE INVENTION**

The present invention is generally related to medical catheters and procedures for using the same, and more particularly to catheters adapted to be inserted into body vessels including access vessels having a limited diameter with respect to the cannula diameter.

### **BACKGROUND OF THE INVENTION**

In the medical profession, the use of catheters to deliver and vent fluids from body vessels is becoming more pervasive due to the advancement of minimally invasive procedures. It is often desired to insert a catheter into a

body vessel such as the aorta, urethra etc. via an access vessel having a restricted diameter. The catheter usually has a plurality of lumens, for instance, one lumen to infuse a fluid such as a medicant or oxygenated blood, and another lumen for inflating a balloon to selectively occlude the body vessel.

5 The number of lumens, and particularly the aggregate cross sectional area of the lumens, substantially determines the overall catheter diameter. It is desired to keep the overall diameter of the catheter as small as possible, especially with respect to the access vessel and the vessel for which it is intended to be placed to reduce trauma to the vessel.

10 With respect to aortic balloon catheters in particular, these catheters may be percutaneously inserted into a patient's femoral artery, serving as an access vessel, and advanced upwardly into the aorta of the patient. According to one conventional method, a first catheter is inserted into the femoral artery and advanced into the ascending aorta. The catheter may include a balloon for  
15 selectively occluding the aorta and have multiple lumens terminating at the distal end thereof for delivering cardioplegia to the aortic root and/or venting fluid from the aorta above the aortic root. Other lumens may provide for instrumentation to be inserted into the aorta, which may be advanced through the aortic valve into the heart. The proximal end of the catheter may be  
20 provided with a lumen terminating proximate the point of insertion to provide arterial return of oxygenated blood. Alternatively, a separate second catheter

may be inserted into the patient's other femoral artery to provide arterial return of oxygenated blood. This second catheter is used to reduce the overall diameter of the first catheter body advanced into the aorta, thus reducing trauma to the aorta lining. The distal end of this second catheter is also advanced only to proximate the point of insertion since it is semi-rigid and has a relatively large diameter to provide the required arterial return of oxygenated blood into the aorta. By using a second catheter, a rather large diameter first catheter is not necessary to be inserted into the aorta which may cause trauma to the lining of the artery. However, returning oxygenated blood well below the aorta requires oxygenated blood to flow counter to typical arterial blood flow, upwardly into the ascending aorta to the various arteries branching therefrom.

The disadvantages of this approach include the fact that returning oxygenated blood to the aorta upwardly in a direction counter to normal flow has been found in some studies to be damaging to the artery lining, and which may create aortic dissection, aneurysms, and in some cases death. In addition, this method requires a second infusion catheter to be inserted and manipulated which can be cumbersome.

## SUMMARY OF THE INVENTION

5 The present invention achieves technical advantages as a single catheter having a relatively large inflatable/collapsible lumen suited for insertion via smaller access vessels into larger vessels. The larger lumen is collapsed during insertion, and inflated during fluid delivery. The catheter can be inserted via an access artery and provide arterial return of oxygenated blood into the ascending aorta. This inflatable/collapsible lumen is secured to the main catheter body distal end., and surrounds the main catheter body having multiple lumens for facilitating other functions, such as pressure sensing at the catheter distal end, balloon inflation, and delivery of cardioplegia/venting at the catheter distal end.

10 In one embodiment, the catheter of the present invention derives technical advantages as being adapted to be percutaneously positioned into the aorta via a femoral artery with the large lumen in the collapsed position. This large lumen has a very thin wall facilitating inflation/collapsing about the main catheter body, preferably being comprised of polyethylene. Subsequently, by infusing a fluid, such as oxygenated blood, into the large lumen, the large lumen self expands due to fluid pressure of the fluid flowing therethrough to the lumen distal end. In another embodiment, the catheter can be inserted into other access vessels such as a subclavian artery.

20 The present invention derives technical advantages as a single catheter



having multiple lumens and a reduced overall diameter. The catheter has a relatively small overall diameter during insertion through access arteries to the aorta with the large lumen in the collapsed position during advancement. This small diameter provides good control of the catheter during insertion, reducing the risk of damaging or traumatizing the lining of the artery. The catheter main body provides advancement of the large lumen within the vessel, and the catheter is sufficiently rigid to avoid kinking during insertion.

The present invention has other numerous uses and advantages in the surgical field whereby a large catheter lumen is required for exchanging a fluid to a body vessel, but the body vessel has a relatively small diameter and is difficult to navigate in and is susceptible to trauma. For instance, the present invention is ideally suited for use as a ureter catheter as well.

Another embodiment uses a single catheter having a single large cannula with a collapsible lumen attached to the distal end. The cannula body in this embodiment can be any of an number of embodiments having a distal end coupled to collapsible lumen. The catheter may be used for different procedures by varying the length of the collapsible lumen. For instance, if the collapsible lumen is relatively short (approximately 1 inch), the catheter may be used to perfuse blood in the ascending aorta or directly inserted in the distal aortic arch to perfuse blood in the descending aorta. On the other hand, if the collapsible lumen is relatively long, the catheter may be inserted from the femoral artery.

The collapsible lumen is soft and pliable so that once it is in the blood vessel it is unlikely to cause trauma to the interior lining of the blood vessel. The collapsible lumen may have a larger diameter than the catheter body which allows for a more diffused and gentler flow. The distal end of the collapsible lumen may have a variety of openings.

The collapsible lumen may be folded inside the catheter body or rolled up near the distal end of the catheter. Once the catheter is connected to a heart lung machine, the fluid flow from the machine expands the catheter to its full width and diameter.

In another embodiment, a dilator may be used to insert the collapsible lumen into the artery. The catheter can be inserted in the usual manner, then the collapsible lumen maybe expanded to the desired length by inserting the dilator through the cannula body and into the expanded section. Alternatively, the collapsible lumen can also be expanded simply by the fluid pressure from a roller pump once the catheter is attached to an extracorporeal circuit.

In yet another embodiment, with the aid of a semi-rigid tube, the collapsible lumen can also be folded inside an insertion cover of a relatively small diameter. After insertion, the cover can simply be peeled off, allowing the collapsible lumen to be expanded by fluid pressure produced by the extracorporeal circuit.

The use of dilator or insertion cover allows insertion and positioning of

a thin, flexible cannula without the trauma to the inside of the artery associated with conventional devices. In either case, the flexible lumen can expanded to the full diameter by the pressure of the fluids flowing during perfusion. Furthermore, the use of the diffused nozzles causes a gentler flow during  
5 perfusion, which is also significantly reduces the risk of trauma to the aorta.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 is a perspective view of the catheter of the present invention shown femorally inserted into the aorta to provide arterial return of oxygenated blood when the catheter is used as an aortic catheter, wherein the large inflatable lumen is in the collapsed position during insertion to minimize trauma to the arteries and then inflated during delivery of oxygenated blood;

Figure 2 is a longitudinal cross section of the catheter of the present invention shown in Figure 1 including the large inflatable/collapsible lumen shown in the collapsed state as carried by the catheter body for advancement into a body vessel, such as for the procedure shown in Figure 1;

Figure 3 is a longitudinal cross section of the catheter of Figure 1 illustrating the large lumen in the expanded state when fluid flows therethrough into the body vessel;

Figure 4 is a transverse cross-section of the catheter taken along line 4-4 in Figure 2 with the large lumen in the collapsed state;

Figure 5 is a transverse cross-section of the catheter taken along line 5-5 in Figure 3 with the large lumen in the expanded state;

Figure 6 is a view of the catheter of the present invention inserted into the aorta via the left subclavian artery;

Figure 7a is an isometric side view of one embodiment of the catheter with a tapered distal end;

Figure 7b is an isometric side view of another embodiment of the catheter with a tapered distal end;

Figure 7c is an isometric side view of another embodiment of the catheter with a tapered distal end;

5        Figure 7d is an isometric side view of another embodiment of the catheter with a tapered distal end;

Figure 8 is an isometric side view of another embodiment of the present invention;

10       Figure 9a is an isometric drawing of another embodiment of the present invention showing the flexible lumen in a collapsed position inside a cover;

Figure 9b is an isometric drawing of the embodiment illustrated in Figure 9a showing a partially removed cover;

Figure 9c is a detailed isometric drawing of a diffused nozzle use in some embodiments of the present invention;

15       Figure 9d is a detailed isometric drawing of another diffused nozzle used in some embodiments of the present invention;

Figure 9e is a transverse cross-sectional drawing of the embodiment shown in Figure 9a;

20       Figure 10a is a view of one embodiment of the present invention inserted into the aorta via the left subclavian artery;

Figure 10b is a view of the embodiment shown in Figure 7b inserted into

the aortic arch and perfusing the descending aorta;

Figure 10c is a view of the embodiment shown in Figure 7b inserted into the aorta arch;

Figure 10d is a view of the embodiment shown in Figure 7d inserted into the aortic arch and perfusing the descending aorta;

Figure 11a is an isometric side view of one embodiment of the invention showing an expanded lumen;

Figure 11b is an isometric side view of one embodiment of the invention showing an expanded lumen in a partially expanded position;

Figure 11c is an isometric side view of one embodiment of the invention showing an expanded lumen folded back into the body of a catheter;

Figure 11d is an isometric side view of one embodiment of the invention showing a lumen rolled into the body of a catheter; and

Figure 11e is an isometric side view of one embodiment of the invention showing an expanded lumen having a large diameter.

## DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring now to Figure 1, there is shown generally at 10 a catheter according to the preferred embodiment of the present invention used as an aortic balloon catheter femorally inserted into a patient and advanced into an ascending aorta 11 of a heart 12. Catheter 10 is seen to have a balloon member 14 positioned and expanded within the ascending aorta 11 to occlude the aorta above an aortic valve 16. Catheter 10 is further seen to include a cardioplegia delivery/venting port 18 and a pressure sensing port 20. Both ports 18 and 20 are defined distal of the balloon member 14 for use within the ascending aorta above the aortic valve 16. Catheter 10 is further seen to include a large integral expandable/collapsible lumen 22 defined between a main catheter body 24 and a thin-walled sleeve member 40 disposed about and carried by the main catheter body 24. Lumen 22 terminates proximate the distal end of the catheter 10, but proximal the balloon member 14. Lumen 22 is ideal for providing arterial return of oxygenated blood to the ascending aorta from an extracorporeal pump (not shown).

The present invention derives technical advantages as a catheter having a large lumen 22 that can be collapsed when inserted through a smaller access artery, such as the femoral artery, and into the ascendina aorta. The catheter has a reduced overall diameter during insertion, thereby reducing trauma to the artery and improving control during insertion. The fluid pressure of the

oxygenated blood delivered through lumen 22 causes sleeve member 40 to self expand from a collapsed state within the artery, whereby the diameter of the large lumen 22 is sufficient to provide oxygenated blood at a sufficient rate and pressure to perfuse the human body. As shown, a single catheter 10 is suitable for providing multiple functions during aortic perfusion, without requiring a second catheter and minimizing damage to the lining of the aorta.

Referring now to Figure 2 and Figure 3, there is shown a longitudinal cross section of catheter 10 according to the preferred embodiment of the present invention. Sleeve member 40 is illustrated in the collapsed state in Figure 2, and in the expanded state in Figure 3. A transverse cross-section of catheter 10 having the sleeve member 40 in the collapsed state taken along line 4-4 in Figure 2 is shown in Figure 4. A transverse cross-section of catheter 10 having the sleeve member 40 in the expanded state taken along line 5-5 in Figure 3 is shown in Figure 5. It is noted again that the catheter 10 of the present invention is ideally suited as an aortic balloon catheter, however, the catheter 10 has other intended uses as well, such as a ureter catheter, and limitation for use as an aortic balloon catheter as described with reference to Figure 1 is not to be inferred.

Catheter 10 is seen to have the main catheter body 24 which may be comprised of a conventional material such as polyvinylchloride (PVC), polyurethane, and polyethylene, although limitation to these materials is not



to be inferred as catheter body 24 can be comprised of elastomeric materials as well, such as silicone. Extending within catheter body 24 is a plurality of lumens including a first lumen 26 extending to distal port 18, a second lumen 28 extending to distal port 20, and third lumen 30 extending to a balloon inflation port 32 within balloon member 14. Also shown is balloon member 14 being sealingly disposed about the distal end of the catheter body 24 to form a cavity 36 therewithin. When used as an aortic perfusion catheter, aortic root pressure is sensed via lumen 28 and port 20 above the aortic valve 16 to determine if the balloon member 14 is properly occluding the ascending aorta 11. Then, cardioplegia is delivered to the aorta proximate the aortic valve 16 via the lumen 26 and port 18 while sensing pressure at the aortic root to maintain a pressure of about 50-100 mm Hg.

The integral expandable/collapsible lumen 22 is formed by the thin-walled flexible lumen member 40 secured about and carried by the main catheter body 24. Lumen member 40 is preferably secured only at the distal end thereof at 41, but may alternatively be secured along a line to the outer surface of the main catheter body 24, either intermittently or continuously along catheter body 24 if desired. Securing lumen member 40 to catheter body 24 ensures that the distal end of member 40 is carried with main catheter body 24 of catheter 10 during insertion.

Lumen member 40 preferably has a plurality of circumferentially

extending openings 42 disposed at the member distal end 44, whereby lumen 22 terminates at a distal lumen opening at 46. Lumen opening 46 and sidewall openings 42 facilitate infusing fluid out the distal end of the large lumen 22 when expanded by the fluid pressure. Advantageously, lumen member 40 has a very thin wall thickness to maintain a low profile when collapsed about catheter body 24, as shown in Figure 2 and Figure 4. The collapsed lumen member 40 is folded and wrapped about the catheter body 24 and heated during manufacturing to keep the member close to catheter body 24, as shown in Figure 4, until unfolded when inflated. Member 40 has a wall thickness preferably in the range of .002 inches, and preferably less than 0.01 inches, and is preferably comprised of a strong and resilient material such as polyethylene. Thus, the relative thickness of member 40 is not drawn to scale in Figure 2 and Figure 3. However, other dimensions and other conventional materials can be utilized as well, and limitation to polyethylene is not to be inferred. For instance, PVC, and polyurethane are suitable as well. The material chosen for lumen member 40 could be the same as the catheter body 24 to facilitate a secure attachment thereto using conventional mechanical, chemical or thermal bonding techniques.

In the preferred embodiment of the present invention, the inner diameter of lumen 22 in the expanded position, as shown in Figure 3 and Figure 5, is substantially larger than the outer diameter of the main catheter body 24, such

as a 4 to 1 ratio. For example, the inner diameter of expanded lumen 22 may be about 10.7mm (32 fr.), and the outer diameter of main catheter body 24 may be about 2.7mm (8 fr.), although limitation to these dimensions is not to be inferred. This expandable lumen 22 is ideal for delivering a fluid, such as oxygenated blood, at a large fluid rate, whereby the smaller lumens 26, 28 and 30 are rather small and suited for their intended use, such as previously discussed. The main catheter body 24 is comprised of a suitable material such that it will not kink or buckle during insertion into the intended body vessel, such as the aorta or urethra. If desired, one of the lumens, such as lumen 26, can be provided with a malleable guide wire to selectively provide rigidity to the catheter body 24 and aid insertion of catheter 10 into the intended body vessel.

Cessation of fluid flow from the pump (not shown) through the lumen 22 will cause the lumen member 40 to collapse about the catheter body 24. Removal of catheter 10 from the body vessel, generally after fluid flow through lumen 22 has ceased, will further constrict lumen member 40 to cause any remaining fluid in lumen 22 to be dispensed out the distal opening 46 of the lumen 22. The lumen member 40 having a very flexible and thin wall will collapse about catheter body 24 as forces from the body vessel compress the lumen member 40 into its collapsed position, thus facilitating the easy removal of catheter 10 from the body vessel. The reduced catheter diameter during withdrawal further reduces trauma to the body vessel, which is a further technical advantage of the

present invention.

Still referring to Figure 2 and Figure 3, the proximal end of catheter 10 is seen to have versatile features that have additional technical advantages. Each patient has different physical attributes and dimensions, and thus, the catheter of the present invention can be adapted to have a sufficient length for use within each particular patient. The proximal end of catheter 10 is seen to have a substantially rigid tubular body member generally shown at 50. The proximal end of the thin wall lumen member 40 is seen to be disposed about and sealingly attached about the circumference of the body member 50 distal end shown at 52. Notably, the proximal end of the lumen member 40 is seen to be bunched together in an accordion or serpentine like arrangement. This allows the length of the lumen member 40 defined distal of the distal end 52 to be selectively adjusted along with the length of catheter body 24 slidably extending through body member 50, thereby allowing the physician to selectively adjust the length of the catheter from body member distal end 52 to the catheter distal end 54. As indicated by the arrows, the main catheter body 24 is seen to be longitudinally slidably adjustable within a flow passageway 56 extending within body 50. Main catheter body 24 can be selectively adjusted by the physician such that it can be extended or retracted through body member 50 and proximal end 58. To provide a sealed, fluid tight, lumen 56, the proximal end 58 of body member 50 has positioned therein a hemostasis valve 60

sealingly- disposed about the main catheter body 24. Valve 60 is sealingly engaged against the inner wall of passageway 56 to prevent oxygenated blood 66 from back flowing through proximal end 58, and to provide friction holding catheter body 24 in place at the selected position. The main catheter body 24 is longitudinally and slidably adjustable through valve 60 by the physician.

A flanged connector 62 is seen to form a Y connection in combination with proximal end 58 and has a passageway 64 extending therethrough in fluid communication with passageway 56. An oxygenated blood source 66 is fluidly coupled to member 62 and provides oxygenated blood to the catheter 10 via the passageway 64, lumen '06, and ultimately to the expandable/collapsible passageway 22 for delivery to the artery via the opening 46 and openings 42. The proximal end of catheter 10 is seen to have extending therefrom three separate passageways, namely, a passageway 70 in fluid communication with lumen 30 and coupled to an inflation source 72, a passageway 74 in fluid communication with lumen 28 and coupled to a pressure sensor device 76, and a passageway 78 in fluid communication with lumen 26 and coupled to a fluid delivery source 80. Each passageway connects to a respective connector, as shown in Figure 1.

The outer diameter of main catheter body 24 is significantly smaller than the outer diameter of passageway 56 extending through body member 50. This creates a sufficient passageway 56 about main catheter body 24 for oxygenated

blood to be communicated therethrough as sufficient rate and pressure to perfuse the human body as shown in Figure 1. It is noted that the outer diameter of passageway 56 is less than the diameter of passageway 22 formed by the fully inflated lumen member 40, and thus, the fluid pressure will be higher through passageway 56 than the fluid pressure within passageway 22 during use. However, the short catheter portion that the blood is at a higher pressure is relatively short in relation to the overall length of the catheter 10. Thus, the required pressure for the oxygenated blood source 66 is suitable for delivery of oxygenated blood to an artery of the body, such as the aorta illustrated in Figure 1. As shown in Figure 3, the diameter of the lumen member 40 between proximate body member 50 and a transition 82 is reduced with respect to the lumen member 40 distal of transition 82 as this portion and the body member distal end 52 typically are positioned in the smaller access artery. The body member 50 has sufficient strength to facilitate insertion into a smaller access artery.

Referring now to Figure 6, there is shown an alternative preferred method of the use of the present invention whereby the catheter 10 is inserted into the ascending aorta via the left subclavian artery shown at 90. Like the femoral artery, the left subclavian artery can also be used as an access vessel for positioning the catheter 10 within the ascending aorta, as shown. The left subclavian artery, like the femoral artery, has a diameter less than the larger

aortic artery and thus limits the overall diameter of the catheter that can be inserted therethrough. The present invention is ideal for insertion through small arteries for ultimate positioning within a larger artery, such as for the purpose of delivering fluids into the large artery at suitable flow rates while minimizing trauma to the arteries by the catheter.

It is intended that other arteries are suitable as access sites for the present invention as well, such as the left carotid artery 92 and the right carotid artery 94 as shown in Figure 4. The desired insertion artery is left to the choice of the surgeon and will depend upon many criteria and will vary from patient to patient.

Figures 7-11 describe various examples and other embodiments of the present invention. For brevity and clarity, a description of those parts which are identical or similar to those described in connection with embodiments illustrated in Figures 1 through 6 will not be repeated. Reference should be made to the foregoing paragraphs with the following description to arrive at a complete understanding of these embodiments. It is understood that features of various examples and embodiments may be interchanged, combined or otherwise reconfigured.

Referring to Figure 11a, which is an isometric side view of another embodiment of the present invention designated generally as a catheter 1100 which includes an elongated collapsible lumen 1102, a catheter body 1108, and

a connection 1110.

The collapsible lumen 1102 preferably has a diameter sufficient to infuse oxygenated blood into an aorta at a suitable flow rate and flow pressure to perfuse a human body. Advantageously, collapsible lumen 1102 has a very thin wall thickness to maintain a low profile when collapsed, preferably in the range of .003 inches or less. The collapsible lumen 1102 is preferably comprised of a strong and resilient material such as polyurethane. However, other dimensions and other conventional materials can be utilized as well, and limitation to polyurethane is not to be inferred. For instance, PVC, and polyethylene are suitable as well. In one embodiment, the material chosen for collapsible lumen 1102 could be the same as the catheter body 1108 to facilitate a secure attachment thereto using conventional mechanical, chemical or thermal bonding techniques.

In Figure 11a the collapsible lumen 1102 is shown in a fully extended condition. In Figure 11b, the collapsible lumen 1102 is shown partially extended to illustrate the extremely pliable and flexible nature of the collapsible lumen 1102. Because the collapsible lumen 1102 is so pliable, it can be “collapsed” or fitted inside of catheter body 1108 as shown in Figure 11c. Figures 11c and 11d illustrate different embodiments of the collapsible lumen 1102 in a collapsed condition. The collapsible lumen 1102 can also be rolled up inside the catheter body as shown in Figure 11d. Other collapsed conditions



are possible, and a limitation to these conditions should not be inferred. In operation, the catheter 1100 can be inserted into a artery in the usual manner. Once catheter connection 1110 is connected to a heart-lung machine and fluids start flowing, the fluid pressure will cause the collapsible lumen 1102 to expand longitudinally, as illustrated in Figure 11a.

In another embodiment, illustrated in Figure 11e, a collapsible lumen 1112 has a larger cross-sectional diameter than the catheter body 1108. A larger diameter would allow the collapsible lumen 1112 to conform to the interior of the artery or vessel without causing trauma to the inside of the artery during insertion. The larger diameter would also allow for a reduced flow velocity causing less trauma to the interior of the vessel or artery.

In another embodiment, the collapsible lumen could have a smaller cross-sectional diameter or a reduced cross-sectional diameter at the distal end of the member. Referring to Figure 7a, which illustrates an isometric view of another embodiment of the present invention designated generally as catheter 700 which includes an elongated collapsible lumen 702, a catheter body 708, and a connection 710. The distal end of collapsible lumen 702 terminates at nozzle 718. The diameter of nozzle 718 tapers to a reduced diameter opening 720 or, alternatively, no opening. In either case, there are a plurality of circumferentially extending side openings 716 disposed longitudinally along nozzle 718. The plurality of side openings create a diffused velocity flow versus

the high velocity flow or “jet” flow of a single opening in a standard cannula. The diffused velocity flow reduces the possibility of dislodging micro-emboli from the aorta wall and other trauma to the inside of the aorta.

In embodiments incorporating the end opening 720, the tapered shape of nozzle 718 causes a reduction in the cross-sectional area of the lumen, which increases the pressure forcing fluid out side openings 716. In another embodiment illustrated in Figure 7b, the side openings 716 can be on the straight section of a collapsible lumen 724. In this embodiment the distal end 726 has a reduced end opening or no opening at all. If the side openings 716 are used in this embodiment without the end opening 720, the flow out of the side openings will create more turbulence in the fluid flow - which is less desirable. On the other hand, if side openings are used in this embodiment with a end opening that has not been tapered, most of the fluid flow will go through the end opening and little or no flow will be through the side openings 716.

The openings 716 shown in Figures 7a-7d are illustrated as circular openings. However, other shape openings may also be used, for instance straight or arrow-shaped slits would also be effective at reducing the velocity of the flow. Figure 9d illustrates another embodiment, discussed later, where the openings 930 are slits. The use of slits creates even a softer flow than circular holes, while keeping the flow directed forward.

The collapsible lumen member of the present invention can be attached

to a variety of cannulae and catheter bodies. Turning back to Figure 7a, the proximal end of collapsible lumen 702 is joined to a catheter body 708 at point 714. The collapsible lumen 702 is preferably disposed about and sealingly attached about the circumference of the catheter body 708.

5           The catheter body 708 is preferably comprised of an elastomeric material, such as silicone, plastic, polyethylene, PVC, vinyl, or plastisol, which are comparatively soft material having the advantage that it does not readily cause trauma when inserted into the body vessels and aortas. In this embodiment, the catheter body 708, comprises three sections, a support or reinforced section 704,  
10           a transition section 706, and a relatively rigid or main body section 707. Any or all of three sections may be integrally formed.

          The reinforced section 704 has a smaller diameter than the main body section 707. The smaller diameter allows for a smaller insertion site. The reinforced section 704 is reinforced by a semi-rigid support member comprising  
15           a helical spring or coil which keeps reinforced section 704 from kinking during insertion and use. The spring is made from wire which has a relatively small cross-sectional diameter and helically extends within the body of reinforced section 704. The wire is preferably integrated into the body of reinforced section 704 during a manufacturing extrusion process forming the catheter body 708.  
20           A transition section 706 couples the reinforced section 704 to a main body section 707. Both the reinforced section 704 and main body section 707 are

cylindrical in shape. Main body section 707 has a larger diameter and wall thickness than reinforced section 704. Transition section 706 is tapered which allows for a smooth transition between the main body section 707 and reinforced section 704.

5           The main body section 707 is used to clamp the cannula. The larger diameter of the main body section 707 reduces the pressure drop across the cannula. At the proximal end of the main body section 707 is a connection 710. The connection 710 is attached to the arterial line of a an extracorporeal bypass machine,

10           The catheter 700 may be used for different medical procedures by varying the length of the collapsible lumen 702. For instance, if the collapsible lumen member is relatively short (approximately 1 inch) as illustrated by a collapsible lumen 722 in Figure 7c, the catheter may be used to perfuse blood in the ascending aorta or directly inserted in the distal aortic arch to perfuse blood in  
15 the descending aorta. Alternatively, if the collapsible lumen member is relatively long as illustrated in Figures 7a and 7b, the catheter may be inserted from the femoral artery. Side openings 716 may be located only in the region of nozzle 718 as illustrated in Figure 7a for perfusion only in the descending aorta. Alternatively, as illustrated in Figure 7b, side openings may be located  
20 all along the periphery of a collapsible lumen 724 for perfusion in throughout the aortic arch.

Referring to Figure 7d, which illustrates another embodiment of the present invention. In this embodiment, catheter 750 is similar to the catheter illustrated in Figure 7c, except that it is coupled to a balloon member 752. In Figure 7d, the balloon member 752 is in an expanded condition. The balloon member 752 is used to occlude an artery, and is positioned longitudinally between a body portion 753 and a collapsible lumen 722. Catheter 750 is used to perfuse, and the balloon member 752 occludes the aorta above an aortic valve 16, as illustrated in Figure 10d. Balloon member 752 is inflated by a separate tube (not shown) running down the interior wall of the catheter body 753.

Referring back to Figure 7a, the collapsible lumen 702 may be expanded due to flow pressure. The surgeon also has an option to expand the collapsible lumen 702 before the connection 710 is attached to a heart-lung machine by using a dilator 730. The dilator 730 may be used to expand, insert and position catheter 700 in the aorta. The dilator 730 should have an outside diameter that easily fits within the inner diameter of collapsible lumen 702 and catheter body 708. The dilator 730 is preferably comprised of a flexible material, such as polyethylene or silicone, to curve around the aortic arch. However, a flexible material may be difficult to insert, therefore to aid in the insertion the proximal end of the dilator shall be of a more rigid material or a larger wall thickness.

In this embodiment, catheter 700 is inserted into the body using standard insertion procedures and techniques. After the catheter 700 is

inserted, a collapsible lumen 702 would be pushed out by the dilator 730 as illustrated in Figure 7a. The collapsible lumen 702 can also be inflated after connection 710 was connected to an extracorporeal circuit (not shown). The pressure from a roller pump (not shown) could then force the collapsible lumen  
5 702 to expand to its full extended position within the body vessel.

Referring now to Figure 10a, there is shown an alternative preferred method of the use of the present invention whereby the catheter 700 is inserted into the ascending aorta via the left subclavian artery shown at 90. Like the femoral artery, the left subclavian artery can also be used as an access vessel  
10 for positioning the catheter 700 within the ascending aorta, as shown. The left subclavian artery, like the femoral artery, has a diameter less than the larger aortic artery and thus limits the overall diameter of the catheter that can be inserted therethrough. The present invention is ideal for insertion through small arteries for ultimate positioning within a larger artery, such as for the  
15 purpose of delivering fluids into the large artery at suitable flow rates while minimizing trauma to the arteries by the catheter.

Referring to Figure 10b, there is shown an alternative preferred method of the use of the present invention whereby the catheter 700 is inserted into the aortic arch for perfusing the descending aorta. Like the femoral artery, the  
20 aortic arch can also be used as an access vessel for positioning the catheter 700 within the descending aorta, as shown.

Referring to Figure 10c, there is shown another alternative method of use wherein the catheter 700 is directly perfusing the aortic arch as shown in Figure 10c.

Referring to Figure 10d, there is shown an alternative preferred method of the use of the present invention whereby the catheter 750 is inserted into the aortic arch for perfusing the descending aorta. Like the femoral artery, the aortic arch can also be used as an access vessel for positioning the catheter 750 within the descending aorta, as shown. The balloon member 752 is expanded which occludes the aorta above the aortic valve 16 (not shown in Figure 10d).

Referring to Figure 8, which shows another embodiment of a collapsible lumen 802 in an expanded condition and attached to a curved tip 804 of a vascular cannulae 806. The collapsible lumen 802 could also be directly attached to the vascular cannulae 806. The collapsible lumen 802 may be rolled up or collapsed into the body of the vascular cannulae 806, similar to the collapsible lumen 1102 of Figures 11c and 11d.

In another embodiment, illustrated in Figure 9a, a catheter 900 comprises a cover 904 and an tube member 906 to assist in the positioning of the catheter 900. The catheter 900 is similar to the catheter 700, except that a collapsible lumen 902 (not shown in Figure 9a) is folded or collapsed inside the cover 904.

Figure 9e is a transverse cross-section view through the cover 904, the tube member 906, and the collapsible lumen 902. At the center is the tube

member 906. Surrounding the tube member 906 is the collapsible lumen 902 which in a collapsed state and is folded around tube member 906. The cover 904 encapsulates and surrounds the collapsible lumen 902. The cover 904 can be made from PVC, polyurethane or another suitable material. As shown in Figure 9e, notches 903a and 903b are shown which run longitudinally along the periphery of the cover 904. The notches 903a and 903b weakens the radial strength of the cover 904 to allow for easy removal of the cover 904.

The tube member 906 may be manufactured by any wide variety of stainless steel or other medical grade materials. If a guide wire is used, the tube member 906 may be hollow which allows it to slid over a guide wire. The interior diameter of tube member 906 is sufficient to allow the tube member 906 to slide over the guide wire. If a guide wire is not used, tube member 906 may be either solid or hollow. At the distal end, the tube member 906 is coupled to a rounded end member 910 as illustrated in Figure 9c. Figure 9c is a detail view of the distal end of the collapsible lumen 902, having circular openings 928. Figure 9d is an alternative embodiment wherein the openings 930 are longitudinal slits. The use of end member 910 reduces the chances of a creating a “whipping” action within the vessel as the tube is snaked through the vessel. The end member 910 also reduces the chances of scraping the interior of the artery. Furthermore, it is easily identifiable in TEE screens. The end member 910 may be made from stainless steel, nylon or any number of medical grade



materials. The end member 910 is sealantly attached to the collapsible lumen 902. The tube member 906 runs from the distal end of collapsible lumen 902, through the body of catheter 900, through side port 908 (Figure 9a).

In operation, catheter 900 is inserted into the femoral artery or another suitable insertion point. At the surgeon's option, a guide wire (not shown) may be used to assist in positioning catheter 900. If a guide wire is used, tube member 906 may be slid over the guide wire until the catheter is in position. Once the catheter is in position, the guide wire may be removed by pulling it through side port 908.

The surgeon may also choose to position catheter 900 without the aid of a guide wire. Compared to the collapsible lumen 902, the cover 904 is relatively rigid and allows for the insertion and accurate positioning of the collapsible lumen 902 within the artery. Because collapsible lumen 902 is in a collapsed position inside of cover 904, the collapsible lumen 902 has an extremely low profile which significantly reduces the chances of trauma or dislodging plaque. Once the collapsible lumen 902 is in position, cover 904 may be removed by pulling the sheath longitudinally toward the catheter body 900, as illustrated in Figure 9b. Cover 904 may then be discarded and the collapsible lumen 902 is inflated by fluid pressure created by a roller pump (not shown) once connecting member 912 is connected to an extracorporeal circuit (not shown).

Cessation of fluid flow from the pump in the extracorporeal circuit through

the collapsible lumen will cause the collapsible lumen to collapse. Removal of catheter from the body vessel can take place generally after fluid flow through the collapsible lumen has ceased. The removal will further constrict collapsible lumen and cause any remaining fluid in collapsible lumen to be dispensed out the openings at the distal end, thus facilitating the easy removal of the present invention from the body vessel. The reduced catheter diameter during withdrawal further reduces trauma to the body vessel, which is a further technical advantage of the present invention.

The present invention is also ideal for insertion through small arteries for ultimate positioning within a larger artery, such as for the purpose of delivering fluids into the large artery at suitable flow rates while minimizing trauma to the arteries by the catheter. It is intended that other arteries are suitable as access sites for the present invention as well, such as the left carotid artery 92 and the right carotid artery 94. The desired insertion artery is left to the choice of the surgeon and will depend upon many criteria and will vary from patient to patient.

In summary, the present invention achieves technical advantages as a catheter which has the functional characteristics of a catheter having a predetermined outer diameter, but which during insertion and withdrawal has a smaller effective overall diameter. The present invention achieves advantages of a reduced-diameter single catheter which is suitable for insertion into



**WHAT IS CLAIMED:**

1        1.        A catheter comprising:  
2                a catheter body, wherein the catheter body is elongated and hollow, and  
3                at least one collapsible lumen having a proximal and distal end, wherein  
4        the proximal end is coupled to the catheter body.

1        2.        The catheter of claim 1 wherein the lumen is adaptable to be collapsed  
2        inside the catheter body.

1        3.        The catheter of claim 1 further comprising a nozzle on the distal end of  
2        the collapsible lumen wherein the nozzle has a plurality of openings disposed  
3        around a periphery of the collapsible lumen.

1        4.        The catheter of claim 1 further comprising an opening located on the  
2        distal end of the nozzle.

1        5.        The catheter of claim 1 wherein the plurality of openings are proximate  
2        to the distal end of the collapsible lumen.

1        6.        The catheter of claim 1 wherein the plurality of openings are disposed  
2        around the periphery of the collapsible lumen from the distal end of the  
3        collapsible lumen to the proximal end of the collapsible lumen.

1        7.        The catheter of claim 1 wherein the nozzle is tapered.

1        8.        The catheter of claim 1 wherein the openings are slits.

1        9.        The catheter of claim 8 wherein the slits are V-shaped.

1        10.       The catheter of claim 1 wherein the catheter body comprises:  
2        a support member with a proximal and distal end, and  
3        a rigid member with a proximal and distal end wherein the proximal end  
4        of the support member is coupled to the distal end of the rigid member.

1        11.       The catheter of claim 10 wherein the support member comprises a tubular  
2        member and a coil, and the coil is disposed within the tubular member.

1        12.       The catheter of claim 1 further comprising an inflatable balloon member  
2        disposed about the catheter body.

1        13.    The catheter of claim 1 further comprising a tube within the catheter  
2        body and coupled to the inflatable balloon member for coupling the inflatable  
3        balloon member to a pressure source.

1        14.    The catheter of claim 1 further comprising a dilator with an outside  
2        diameter smaller than the insider diameter of the collapsible lumen and  
3        catheter body such that the dilator can be slidably positioned inside the  
4        collapsible lumen and the catheter body.

1        15.    The catheter of claim 1 further comprising a metal tube coupled to the  
2        distal end of the collapsible lumen wherein the metal tube runs substantially  
3        coaxially through the length of the collapsible lumen.

1        16.    The catheter of claim 15 further comprising a tip portion coupled to the  
2        distal end of metal tube.

1        17.    The catheter of claim 14 further comprising a sheath disposed around the  
2        collapsible lumen.

1        18.    The catheter of claim 17 wherein the sheath has at least one longitudinal  
2        detent recess.

1 19. A device for diffusing the flow of fluids from a medical catheter  
2 comprising a longitudinal expandable lumen.

1 20. The device of claim 19 wherein the lumen is adaptable to be collapsed  
2 inside a catheter body.

1 21. The device of claim 19 further comprising a nozzle on the distal end of  
2 the collapsible lumen wherein the nozzle has a plurality of openings disposed  
3 around the periphery of the collapsible lumen.

1 22. The device of claim 19 further comprising an opening located on the distal  
2 end of the nozzle.

1 23. The device of claim 19 wherein the plurality of openings are proximate  
2 to the distal end of the collapsible lumen.

1 24. The device of claim 19 wherein the plurality of openings are disposed  
2 around the periphery of the collapsible lumen along the length of the collapsible  
3 lumen.

1        25.    The device of claim 19 wherein the nozzle is tapered.

1        26.    The device of claim 19 wherein the openings are slits.

1        27.    The device of claim 26 wherein the slits are V-shaped.

1        28.    A method of manipulating a catheter within a longitudinal body vessel,  
2        comprising:

- 3            a.        inserting the catheter into the body vessel wherein the catheter is  
4            coupled to a collapsible lumen,  
5            b.        positioning the catheter within a body vessel, and  
6            c.        expanding the collapsible lumen in a longitudinal direction.

1        29.    The method of claim 28 wherein the expanding step further comprises:

- 2            a.        connecting the catheter to a source of fluid flow.  
3            b.        creating a fluid flow pressure within the catheter in order to  
4            expand the collapsible lumen.



- 1        30.    The method of claim 28 wherein the expanding step further comprises:
- 2            a.       inserting a dilator into the support member;
- 3            b.       pushing the dilator through the supporting member until the
- 4            dilator connects with the collapsible lumen,
- 5            c.       extending the collapsible lumen by pushing the dilator further into
- 6            the body vessel until the collapsible lumen reaches the desired position;
- 7            and
- 8            d.       removing the dilator.

- 1        31.    The method of claim 28 wherein the collapsible lumen has a proximal and
- 2        distal end, and wherein the distal end has a nozzle comprising a plurality of
- 3        openings disposed around the periphery of the collapsible lumen.

1        32.    A method of manipulating a catheter within a longitudinal body vessel,  
2        comprising:

- 3            a.        inserting the catheter into the body vessel wherein the catheter  
4            has a collapsible lumen having a proximal end and a distal end, and the  
5            proximal end of the collapsible lumen is coupled to a support member,  
6            wherein the distal end of the collapsible lumen is coupled to a tube  
7            member, and wherein a sheath is disposed around the collapsible lumen;  
8            b.        positioning the collapsible lumen within a body vessel; and  
9            c.        removing the sheath by pulling the sheath longitudinally back over  
10          the collapsible lumen.

1        33.    The method of claim 32 wherein the distal end of the collapsible lumen  
2        has a nozzle comprising a plurality of openings disposed around the periphery  
3        of the collapsible lumen.

1        34.    A catheter comprising:

2            a catheter body means, wherein the catheter body is elongated and  
3        hollow, and

4            at least one collapsible lumen means having a proximal and distal end,  
5        wherein the proximal end is coupled to the catheter body.

**ABSTRACT**

A catheter and method of use as an aortic balloon catheter having an integral expandable/collapsible lumen. The catheter comprises a main catheter body having a either a single or a plurality of lumens extending therethrough, and further includes an expandable/collapsible lumen disposed thereabout and carried by the main catheter body. The expandable/collapsible lumen has a *relatively large* diameter when inflated with respect to the main *catheter body*, and is self-inflating by fluid pressure when the fluid flows therethrough. The large inflatable/collapsible lumen is attached at its distal end to the main catheter body and thus is carried therewith into a body vessel, and thus is also supported by the catheter body to avoid kinking. The present invention also achieves technical advantages as a catheter for insertion into any body vessel having a limited diameter and which is susceptible to trauma, such as a urethra.

d793330v9

Fig. 1

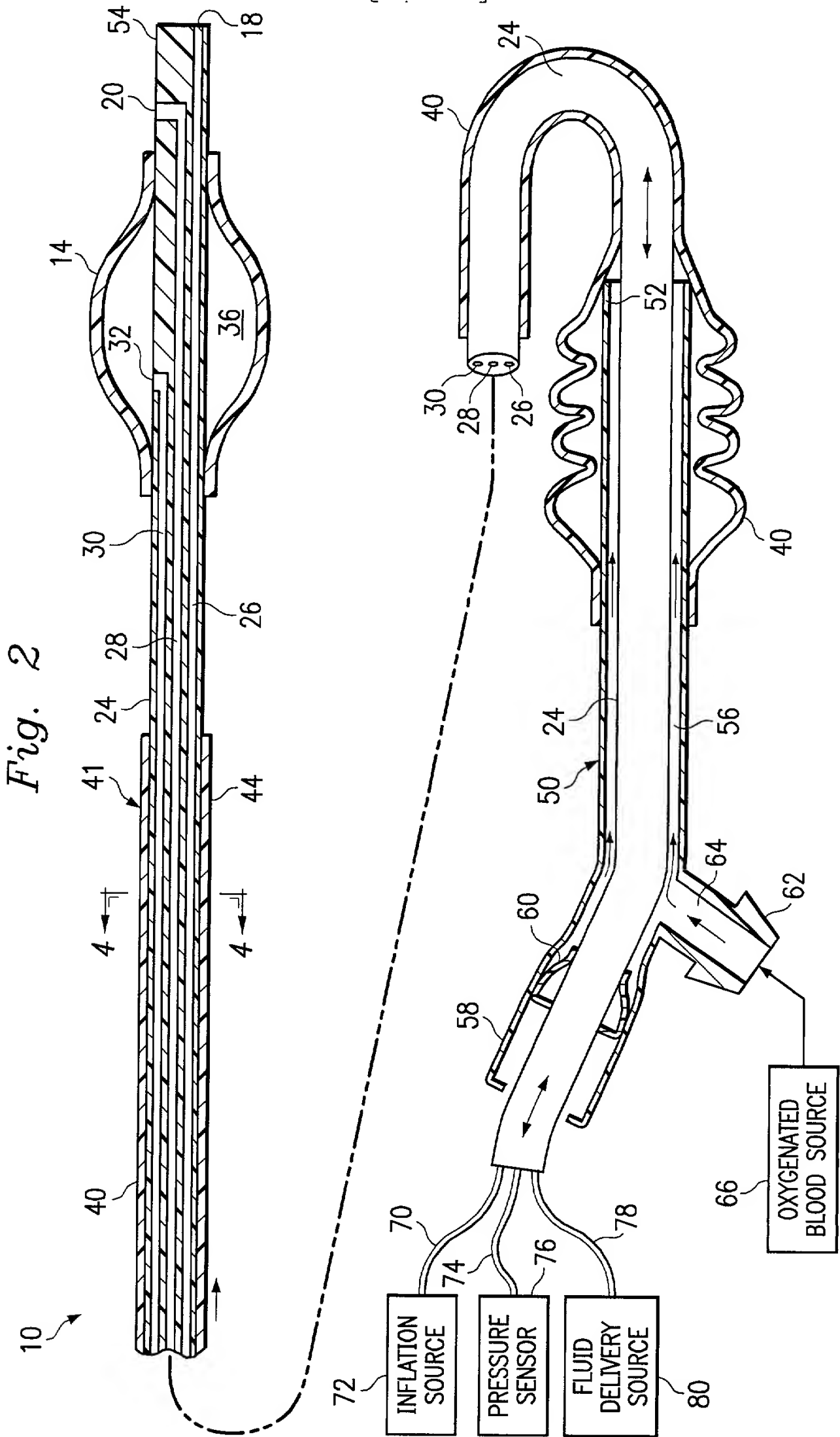
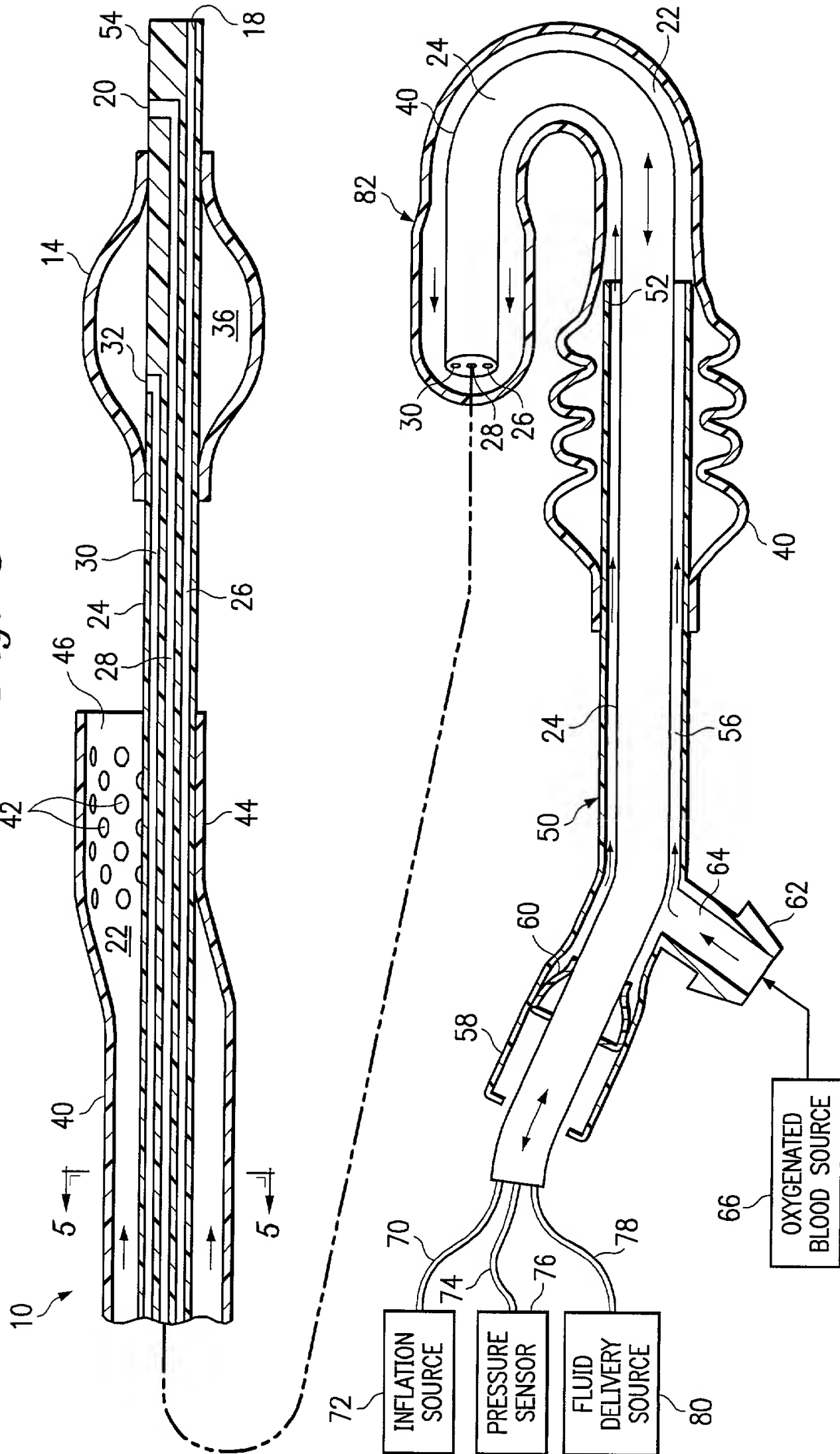
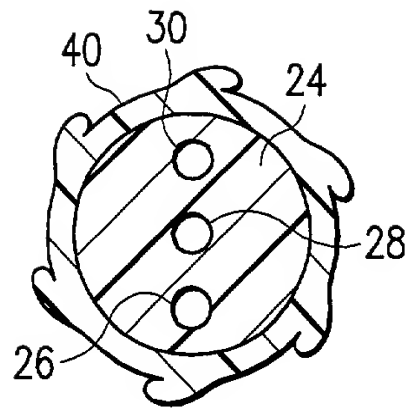
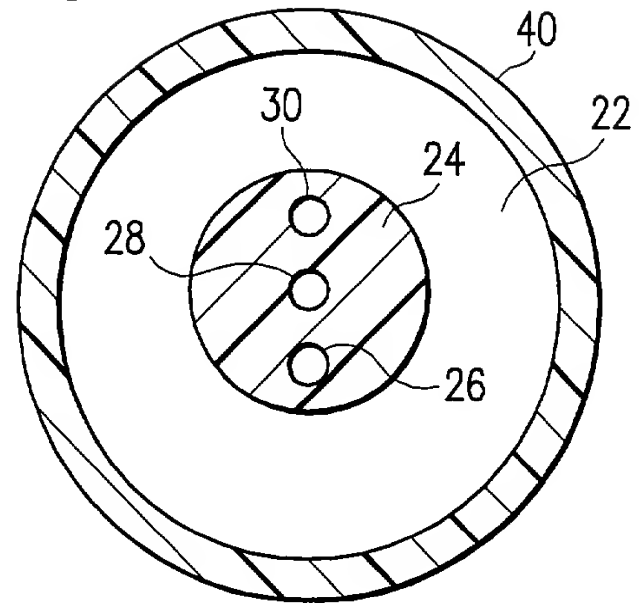


Fig. 3

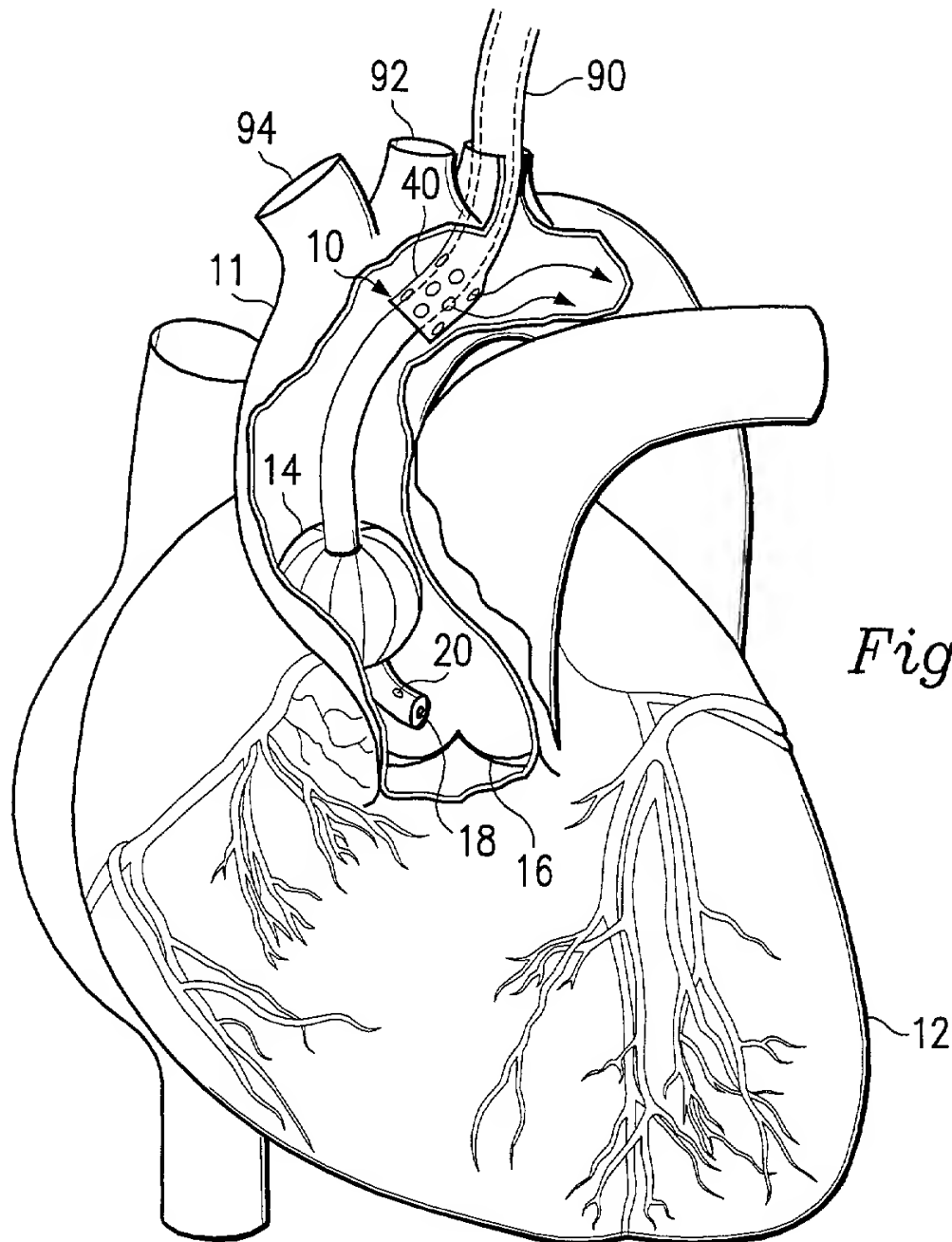




*Fig. 4*



*Fig. 5*



*Fig. 6*

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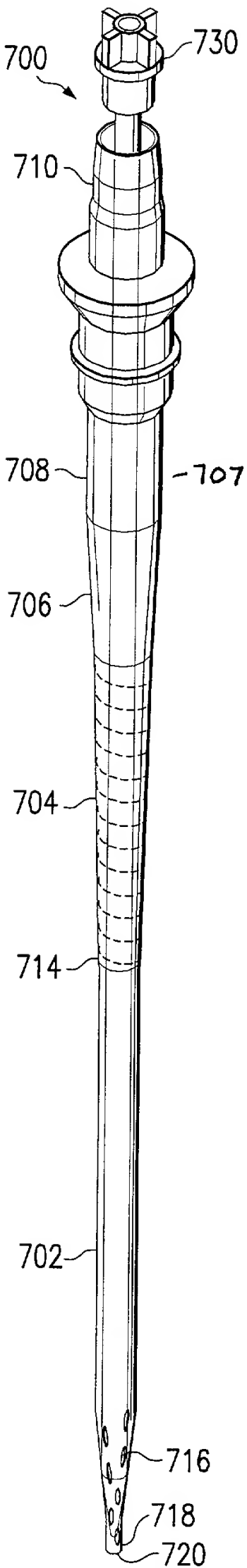


Fig. 7a

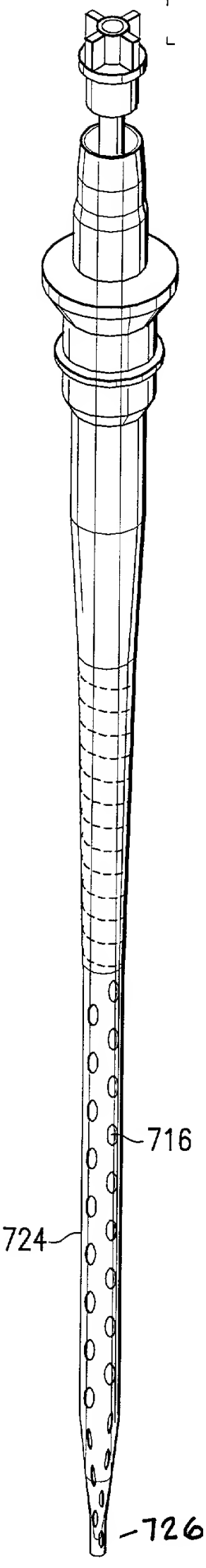


Fig. 7b

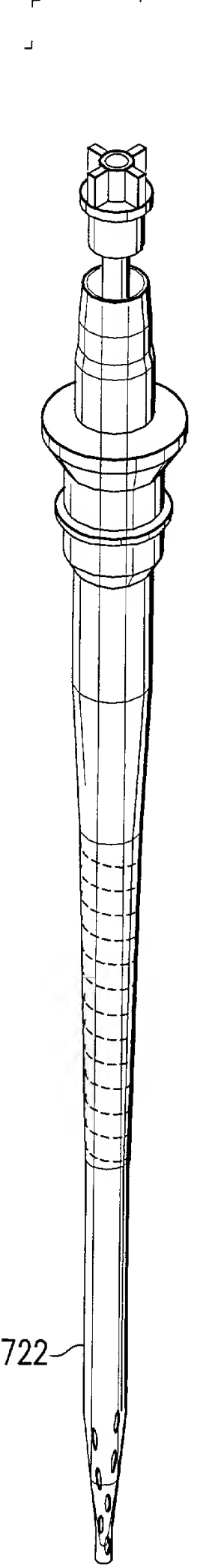


Fig. 7c

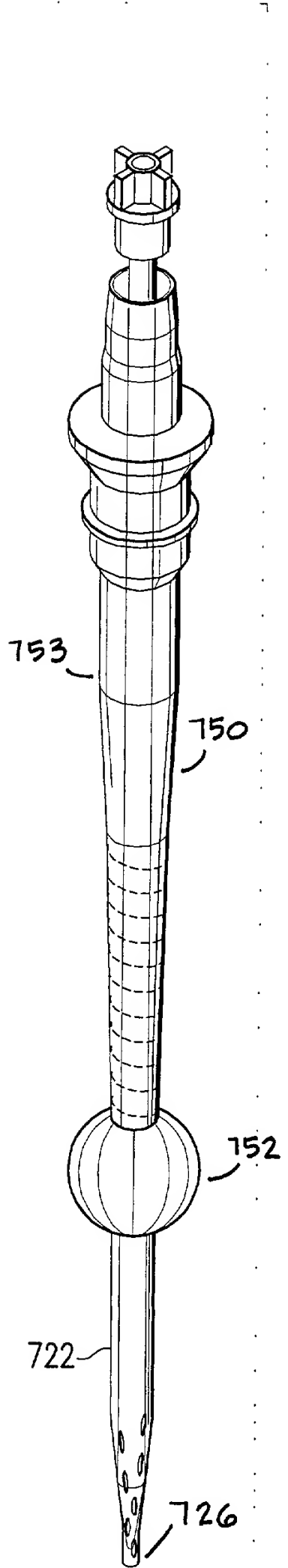
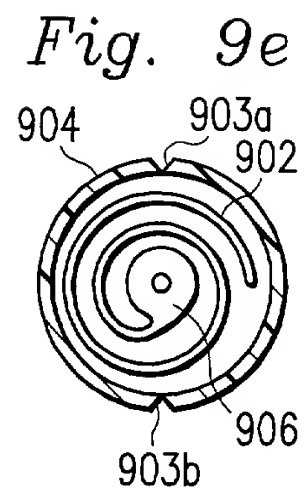
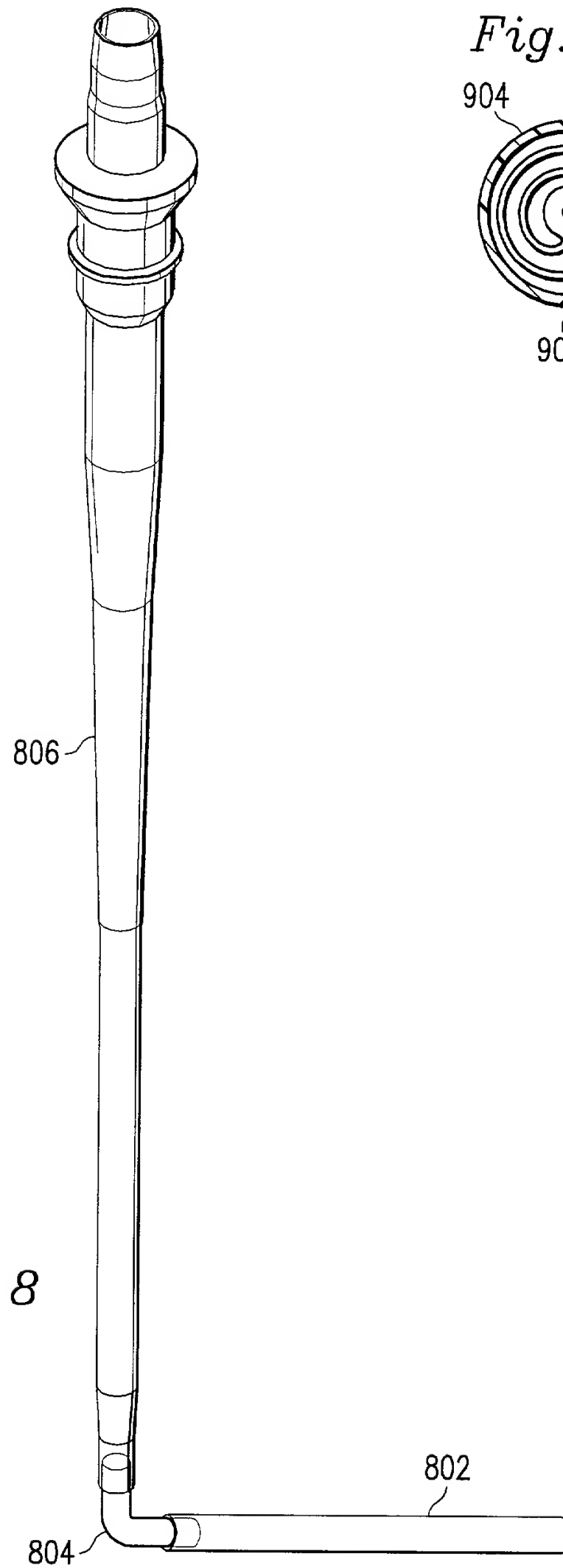
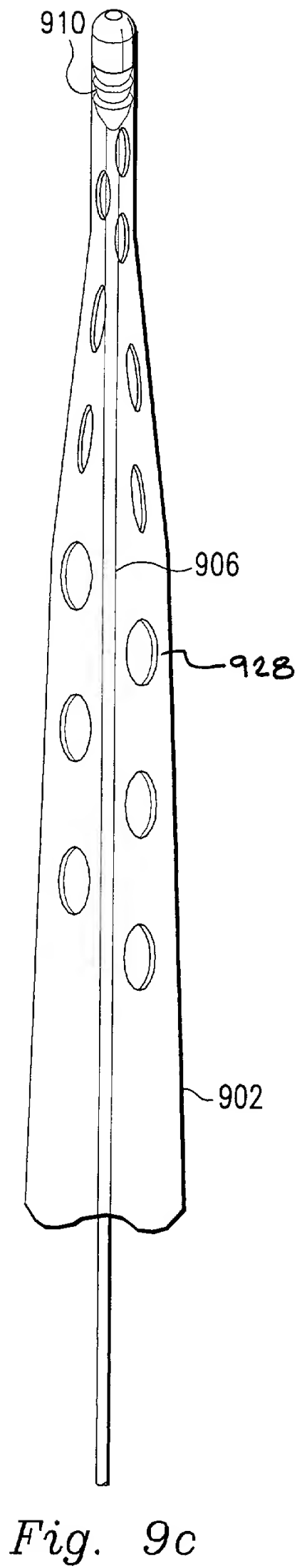
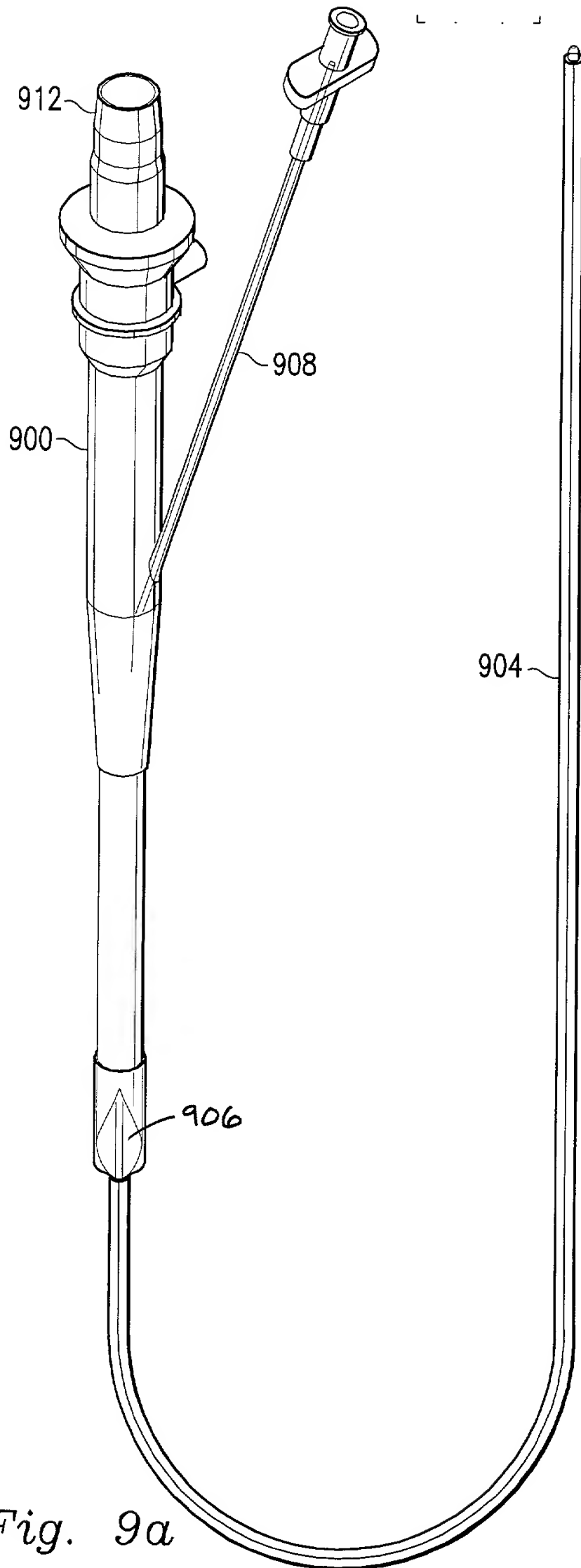
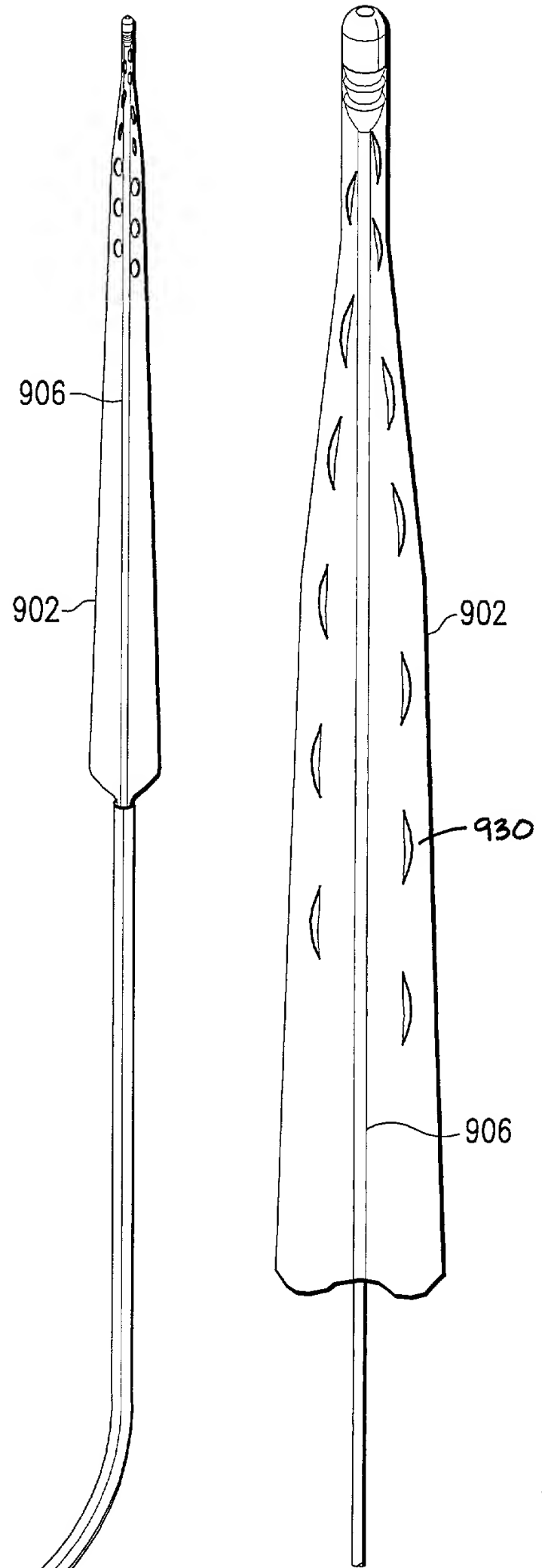
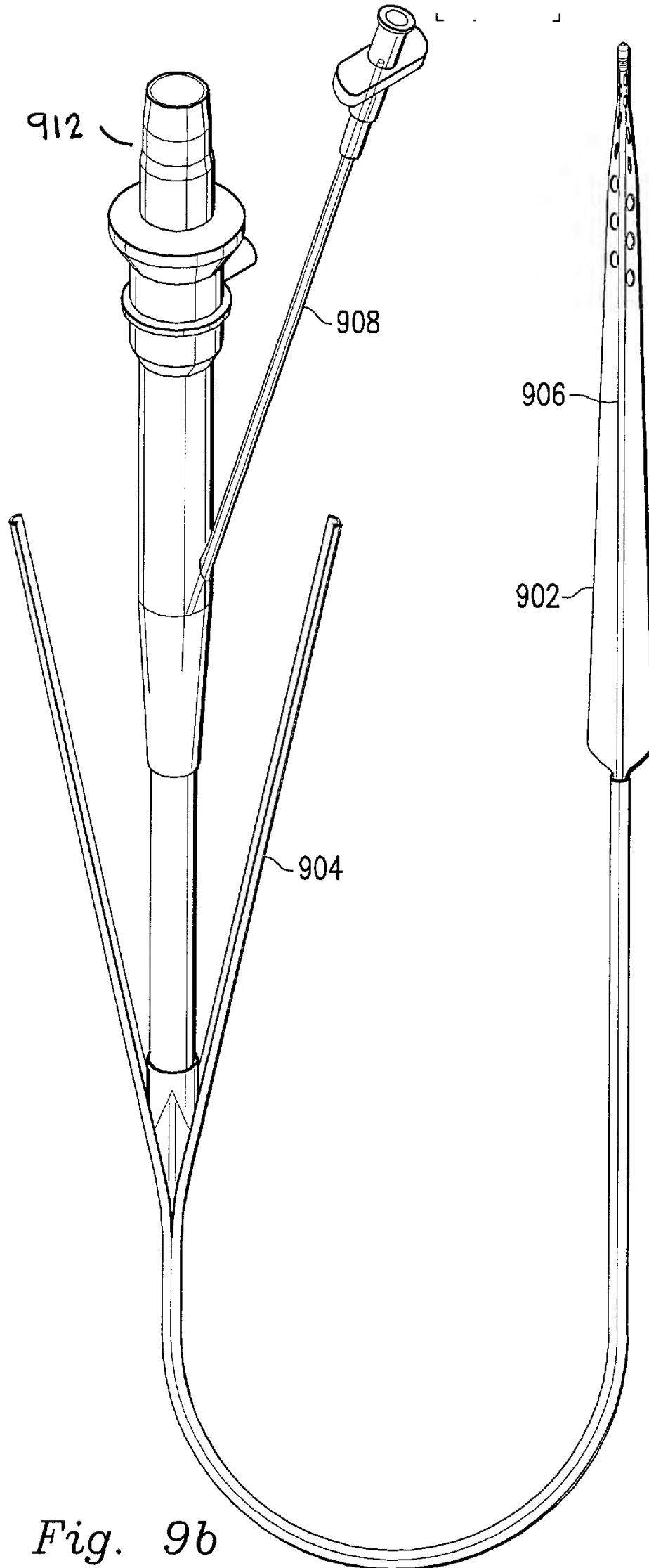


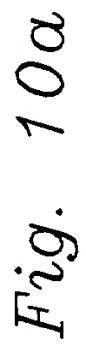
Fig. 7d











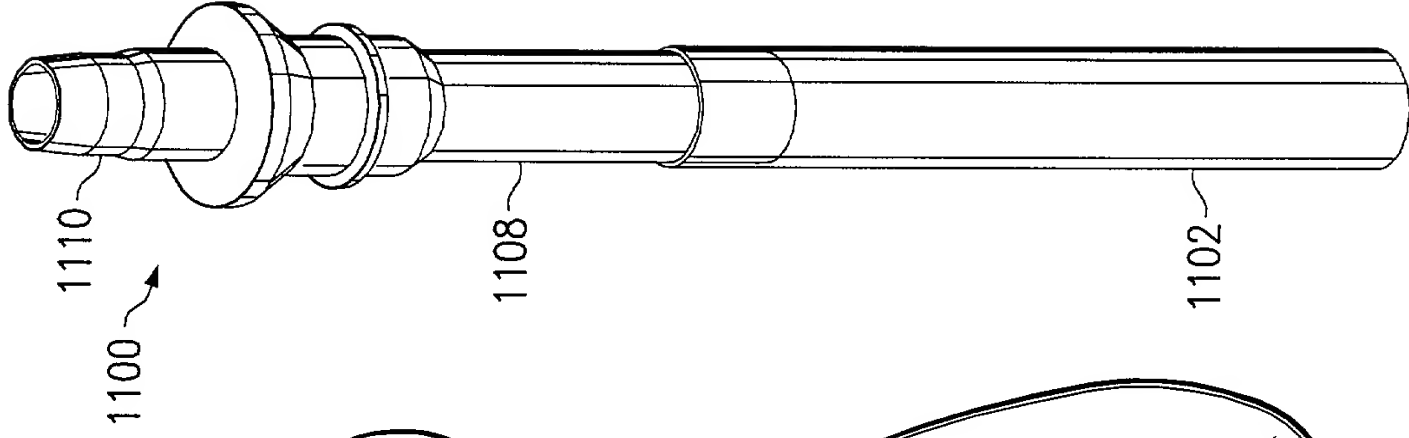


Fig. 11a

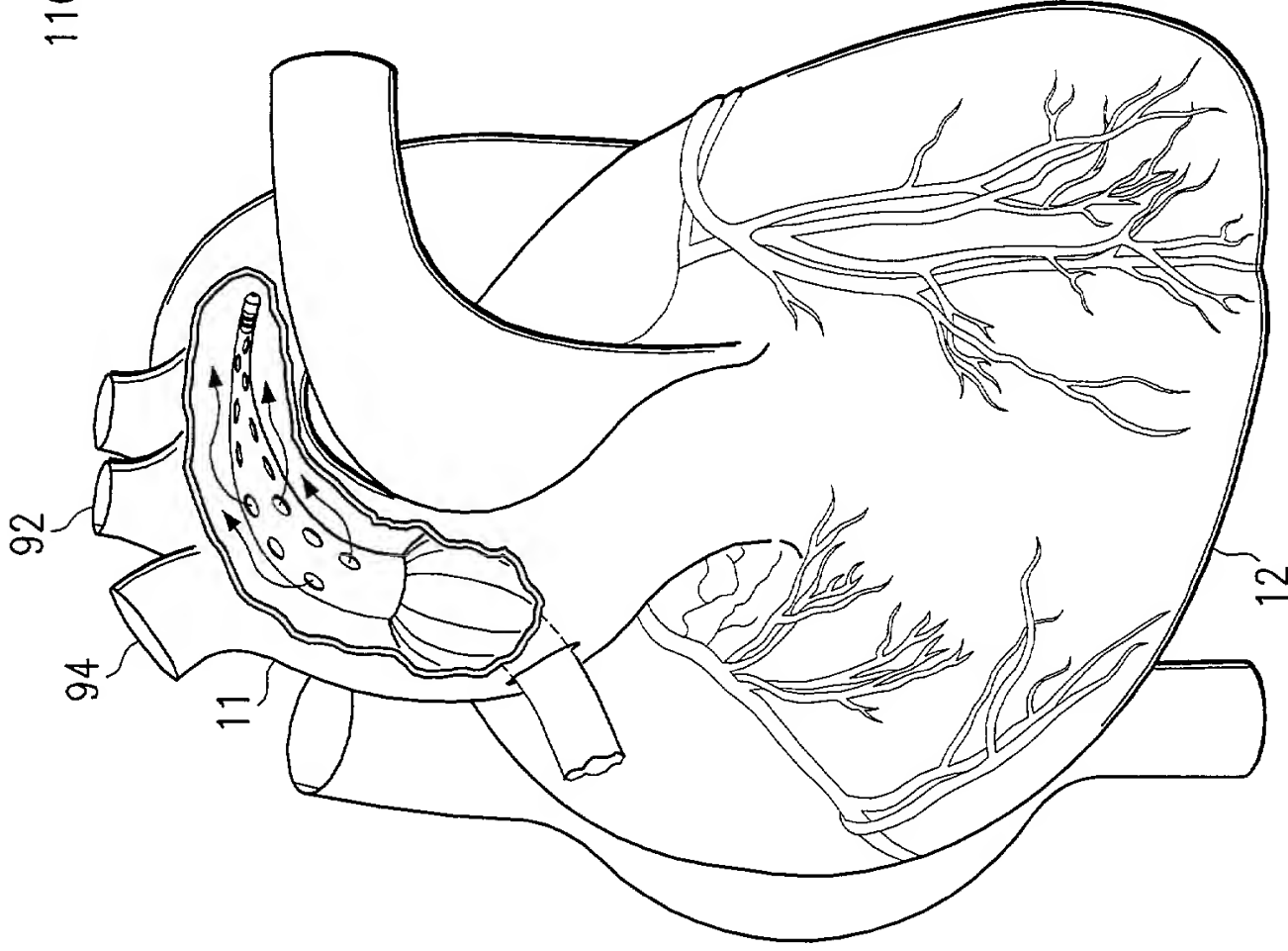


Fig. 10d

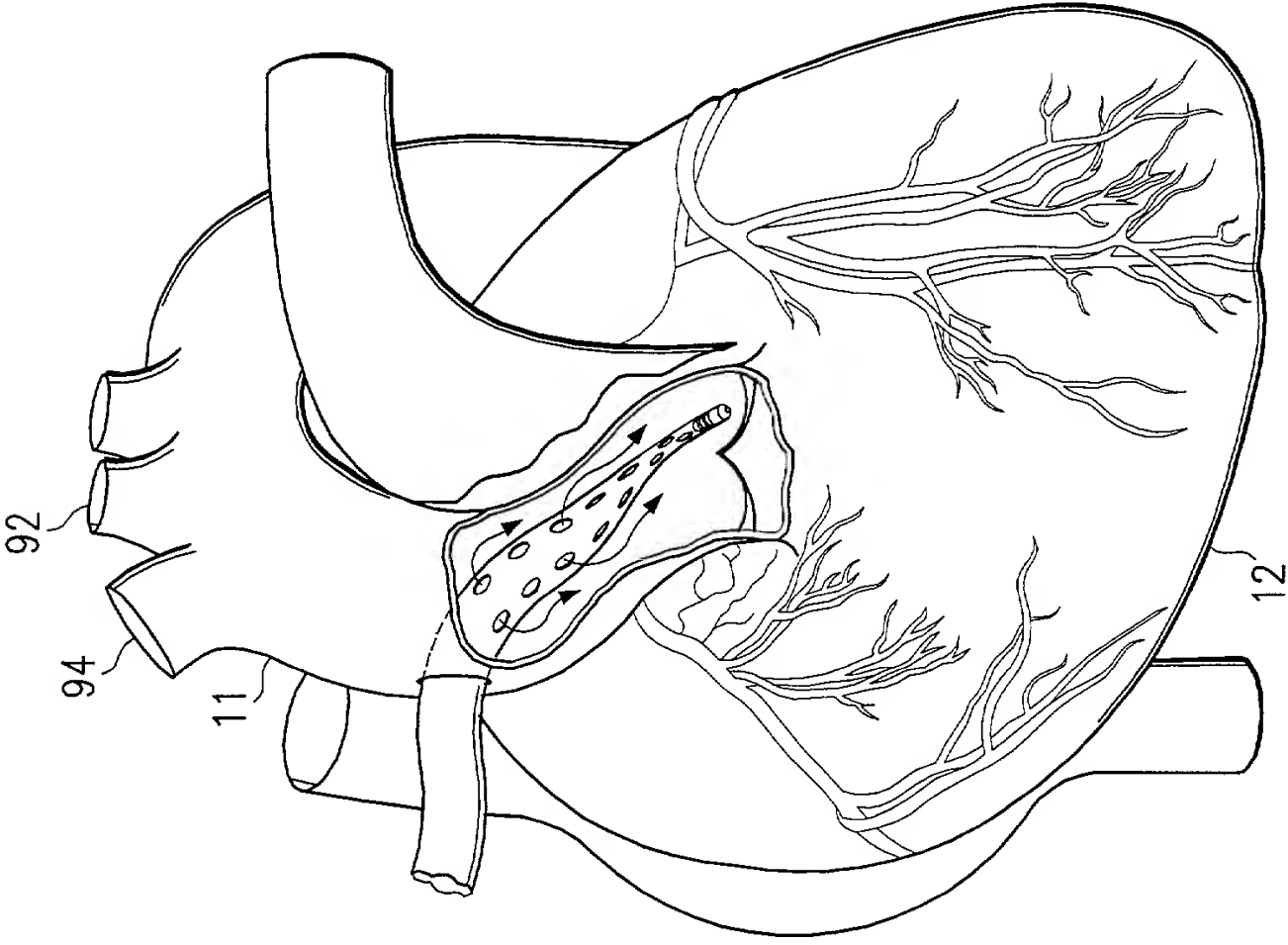
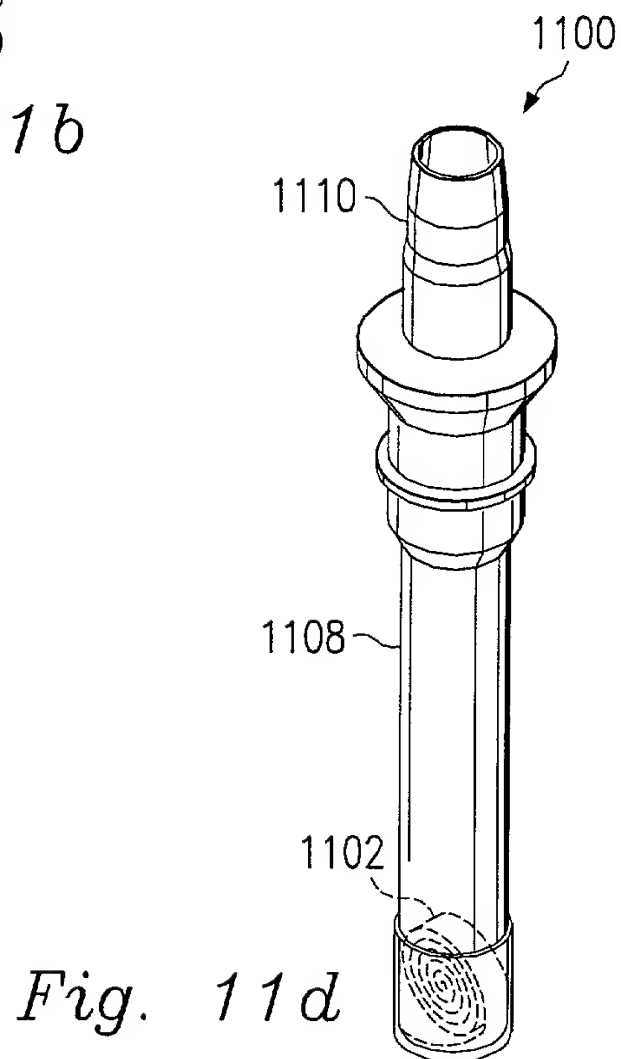
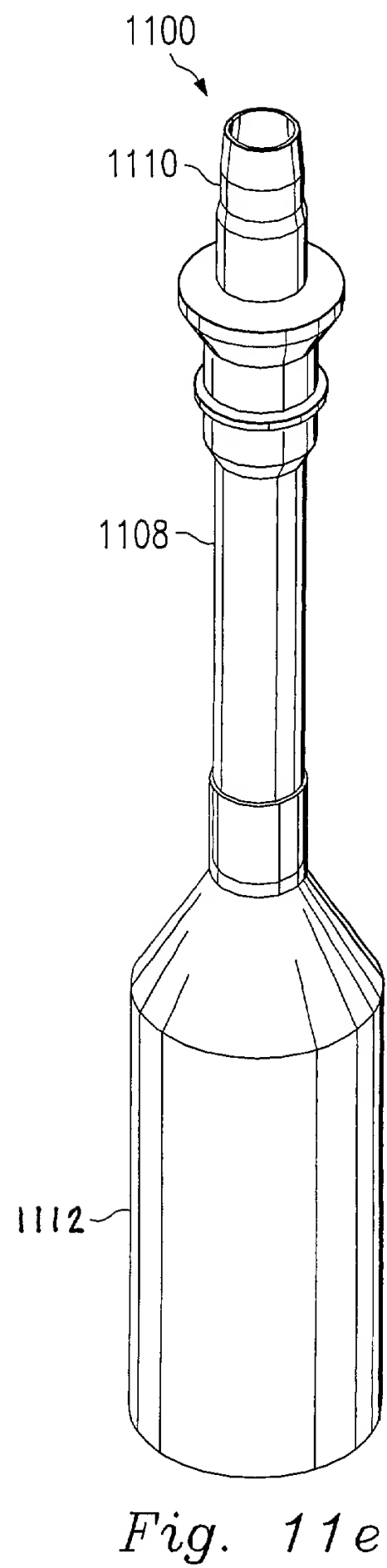
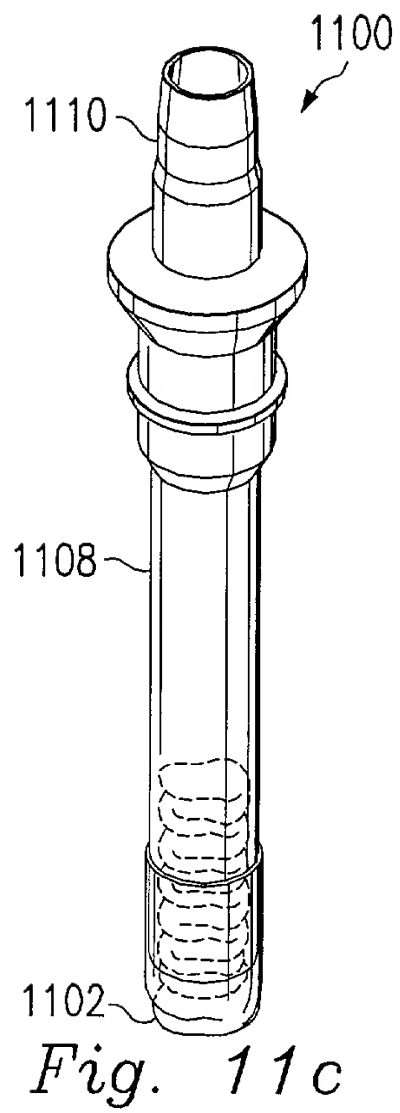
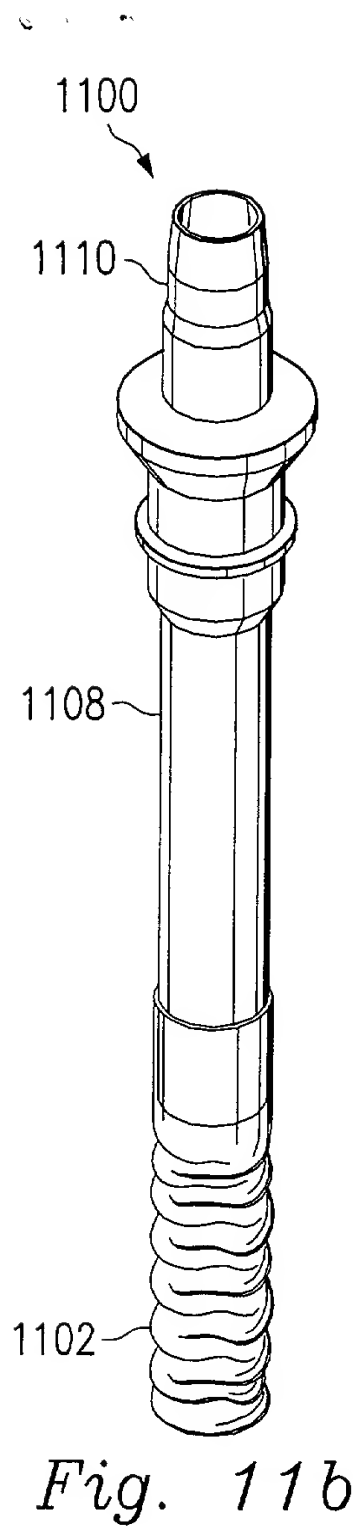


Fig. 10c



**DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our names;

We believe we are the joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

**CATHETER HAVING INTEGRAL EXPANDABLE/COLLAPSIBLE LUMEN**

the specification of which: (check one)

XX is attached hereto.

\_\_\_\_\_ was filed on \_\_\_\_\_  
under Attorney's Docket Number \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 CFR 1.56.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

09/204,108  
Application

December 1, 1998  
Filing Date

pending  
Status

POWER OF ATTORNEY: As the named inventors, we hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Theodore Baroody	Reg. No. 45,417	Christopher R. Kosh	Reg. No. 42,760
Jeffrey M. Becker	Reg. No. 35,442	Michael J. Balconi-Lamica	Reg. No. 34,291
James R. Bell	Reg. No. 26,528	Todd Mattingly	Reg. No. 40,298
Daniel E. Burke	Reg. No. P-46,588	David L. McCombs	Reg. No. 32,271
L. Howard Chen	Reg. No. P-46,615	Bill R. Naifeh	Reg. No. 44,962
Randall E. Colson	Reg. No. 40,566	David M. O'Dell	Reg. No. 42,044
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INVENTOR'S SIGNATURE: \_\_\_\_\_

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CITIZENSHIP:

POST OFFICE ADDRESS:

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FULL NAME OF THIRD INVENTOR: Delos M. Cosgrove

INVENTOR'S SIGNATURE: \_\_\_\_\_

DATED: \_\_\_\_\_

RESIDENCE:

CITIZENSHIP:

POST OFFICE ADDRESS:

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